

# Implementing Open Access Mandates

Case University of Helsinki

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# Content

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University of Helsinki in brief

UH Open Access History & Mandate

Implementation

Lessons learned





# University of Helsinki in brief

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Established in Turku 1640, moved to Helsinki 1828

Bilingual (Finnish and Swedish), tuition also in English

11 faculties

35,000 degree students, 30,000 continuing education and Open University students.

8,160 employees, including 3,930 researchers and teachers.

10,000 scientific articles or monographs are published yearly by the university's researchers

Budget 2010: EUR 644 million

Operates on four campuses in Helsinki and 17 other locations throughout Finland



# Leading University in Finland

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	% of Finnish universities
Budget funding of universities	23
Professors	21
Master's degrees	15
Doctoral degrees	27
National Centres of Excellence	66

Aims to consolidate its position among the best multidisciplinary research universities in the world



# Helsinki University Library

Assisting the various stages of research

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- An independent institute of the University
  - some 250 employees
  - University Librarian, prof. Kaisa Sinikara
- Consist of centralised services (Digital Services, Acquisition and Metadata and Administration) and four subject-based campus libraries
- Promoting learning and high-standard instruction





# Open Access at UH

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The goal of the University of Helsinki is to

Support open access to research results; the University wants the results of publicly funded research to be openly accessible online and available to anyone interested in them,

Increase the visibility, use and impact of the University's own research publications by offering open access to them through the University's own open publication repository,

Use various support services to make it easier for researchers to arrange open access to publications,

Encourage other research funders to also require the results of work funded by them to be openly accessible.



# Open Repositories at UH

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Open Repository services available since 2004

Campus based services merged into HELDA Digital Repository in 2008

- Managed by HULib Digital Services
- Based on Dspace open source repository software

HELDA hosts

- self-archived articles (as mandated)
- publication series
- thesis, dissertations
- course material
- some data set collections
- publications by university's strategic partners



# HELDA, some statistics

(As of November 2010, statistics available since April 2010)

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Number of

Objects in HELDA 17 521

Articles (w/ full text) 808

Number of

Total Downloads 212 492

Article Downloads 20 224

Most full text articles available in Natural Sciences and Social Sciences, only a few in Humanities.

Most downloads in Medical Sciences.





# UH Open Access Mandate

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University of Helsinki requires all the *researchers at the University to deposit copies of their peer-reviewed research articles published in academic journals in the open digital repository*

Rector's Decision in May 2008





# Implementating the Mandate

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## Enforcement of the Mandate in 2010

- Mandate implementation simultaneously with implementation of university's new CRIS

Researchers self-archive the copy (final draft version) of their published or accepted article into HELDA via CRIS

- If the article is already available open access somewhere, a permalink is sufficient



# Deposit workflow

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Researcher deposits a copy (final draft version) of the article into the CRIS

- Agrees to the deposit license (pop-up in CRIS)

The document and its metadata enter the approval workflow

Editor (library staff or department secretary) checks the deposited item, including publisher's copyright policy

- sets embargo date, if necessary

The accepted article moves on into the open repository



# What's a researcher to do?

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Be aware of the university's open access mandate

Take the mandate into account in all the contracts during a research project

Keep the latest peer-reviewed manuscript version of his/her accepted article for self-archiving

Deposit the file in CRIS when entering the metadata about the publication for the publication registry

Agree to the deposit license in CRIS



# Approval Process

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## Editor (role) in CRIS

- Checks the entered / imported metadata for all the publications in CRIS
- Checks the attached full text file
- Checks the publisher's Open Access Policy
  - using [Sherpa/ROMEO](#) or info provided by publisher
- Sets the embargo, if necessary
- Approves the deposited publication

NB!

If the file deposited doesn't meet the requirements for self-archiving, it's the editor's task to contact the researcher.

Editor also contacts the publisher for more info if no open access policy info is available.



# Library's task

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Repository maintenance and development (Digital Services)

Editorial tasks in CRIS (Campus Libraries)

Informing and educating the researchers on open access and the university mandate

Participation in national and international open access advocacy work as well as DSpace development community





# Related Activities

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## National Activities:

UH is a founding member of FinnOA - Finnish Open Access Advocacy Group

UH involved in several national level OA related projects and initiatives

- Open Access & Copyright Hand Book (in Finnish, November 2010)
- Questionnaire (w/ Federation of Finnish Learned Societies) for all scholarly publishers in Finland
- Publishers Open Access Policies to be available in Sherpa/ROMEEO

## International Activities:

University of Helsinki is a partner (National Open Access Desk) in OpenAIRE project

- Work began in December 2009



# Challenges

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## Library's perspective

- Resource allocation for the approval process and guidance

## Researcher's perspective

- No real incentive yet to encourage self-archiving

## University Level

- Lack of university level copyright policy
- Reaching all the separate research communities

## National Level

- Lack of coordinated advocacy work & PR for open access





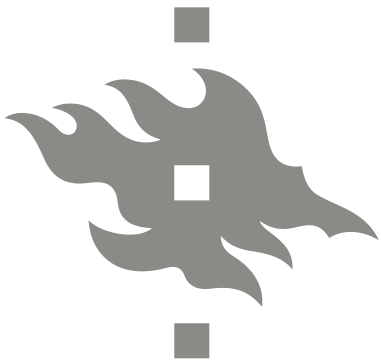
# OA in Finnish Research Institutions

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No other university level Self-Archiving Mandate in action so far

University of Tampere and University of Jyväskylä 'strongly recommend' open access of their research output and self-archiving of the articles in the universities' open repositories beginning in 2011.

Academy of Finland recommends open access of research output and requires the cost of OA to be included in all funding applications.



# More info & Contact

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[www.helsinki.fi/openaccess](http://www.helsinki.fi/openaccess)

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