

Impact of transformative agreements on publication practices

Observations from the Dutch UKB consortium

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Dutch universities: Towards 100% open access

Agreements made for 2018 - 2020

The road to 2020

The Dutch government has put open science on the political agenda: first in 2013 at the national level and then in 2016 at the European level during the Netherlands' EU Presidency. In February 2017, the National Plan for Open Science – which implemented the European agreements in the Netherlands – was signed by ten parties, including KNAW, NWO/ZonMw and VSNU/UKB (UKB is the Dutch consortium of the thirteen university libraries and the National Library of the Netherlands). One of the key ambitions of the NPOS is 100% open-access publication by 2020, i.e. all scientific publications (articles, books, book excerpts, reports) funded with public money must be freely available for viewing or reuse by anyone in the world as from 2020.

As the driving force behind this key ambition, the VSNU/UKB must initiate collective policy and coordinate the main players in this field. Together with the main parties involved, agreements were made for 2018 - 2020 under the supervision of the Ministry of Education, Culture and Science. The [coalition agreement](#) states that open access and open science must become the norm for scientific research.

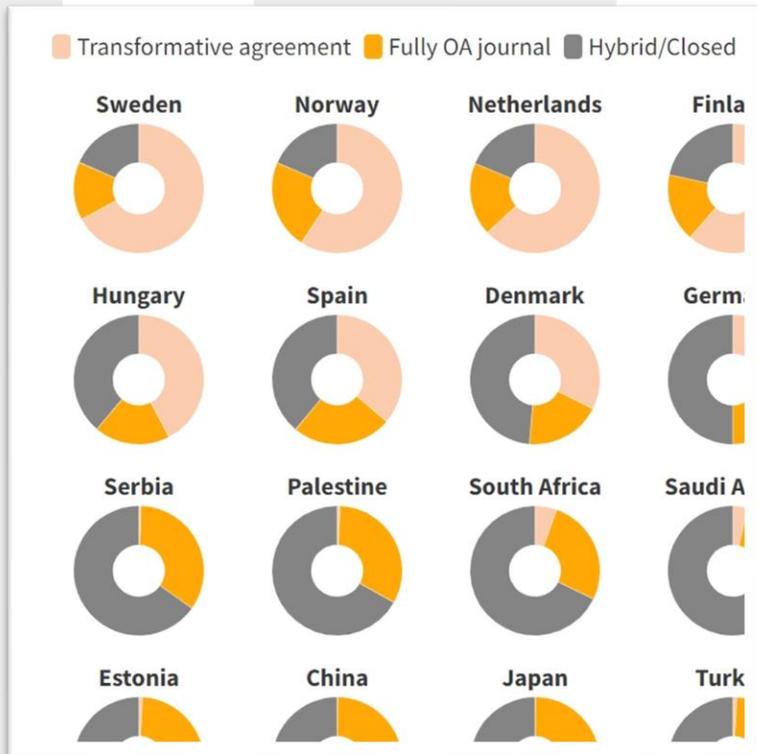
The University of Twente has adopted a [new policy on open access](#) (OA) in order to make 100% OA publishing [the norm in 2023](#). UT authors must make all their publications OA, preferably immediately with a licence for re-use. In all cases, UT-affiliated publications must be open at the latest six months after the first publication date. To help you, the University Library will automatically make your publications in UT Research Information openly available after six months, unless you opt out for one or more publications. Together with you as UT authors, the faculty boards are responsible for making 100% OA publishing the norm within each faculty.

Below, you'll read what this new policy means for UT authors and how the University Library will help you make your work OA.

<https://www.utwente.nl/en/service-portal/news-events/news-events/news/2021/12/303336/new-ut-policy-on-open-access>

Open Access as a service

Read & Publish deals since '15 - Repository strategy '19 - Additional funds & deals institutions



Source: https://esac-initiative.org/market-watch/#country_shares

You share, we take care!

'Use your right to share publications after six months. The university library will help you out.'

Can the article be published in an open access journal? **No**

Yes: Publish it with a cc-by license. The article will be available to all for free!

Share the publication via the institution's repository.

Complicated and slow? Not any more!

An amendment to the Dutch Copyright Act has made it much easier to share publications quickly. Please participate! Then the library will share the published version after six months, even if the embargo period is longer, or you are a co-author, or your publication was published by an international publisher.

Would you like to know more? For more information and the conditions, please visit www.openaccess.nl/en/you-share-we-take-care

openaccess.nl

www.openaccess.nl/en/in-the-netherlands/you-share-we-take-care

Open Access Fund

Utrecht University researchers may call on our Open Access Fund to have their expenses for open access publications reimbursed.

Open Access Fund 2022 - full reimbursement

In 2022 the Open Access Fund will refund all costs of publishing articles in full open access journals, under the condition that the article processing charge (APC) amounts to a maximum of €2,500. The reimbursement used to be 50% of the costs of the publication with a maximum reimbursement of 1,000 euros.

Conditions of the OA Fund 2022

From 1 January 2022 onwards, the OA Fund will reimburse the costs of open access for:

- Publications by authors from Utrecht University without external funding (1st flow)

<https://www.uu.nl/en/university-library/advice-support-to/researchers/publishing-support/open-access/open-access-costs/open-access-fund>

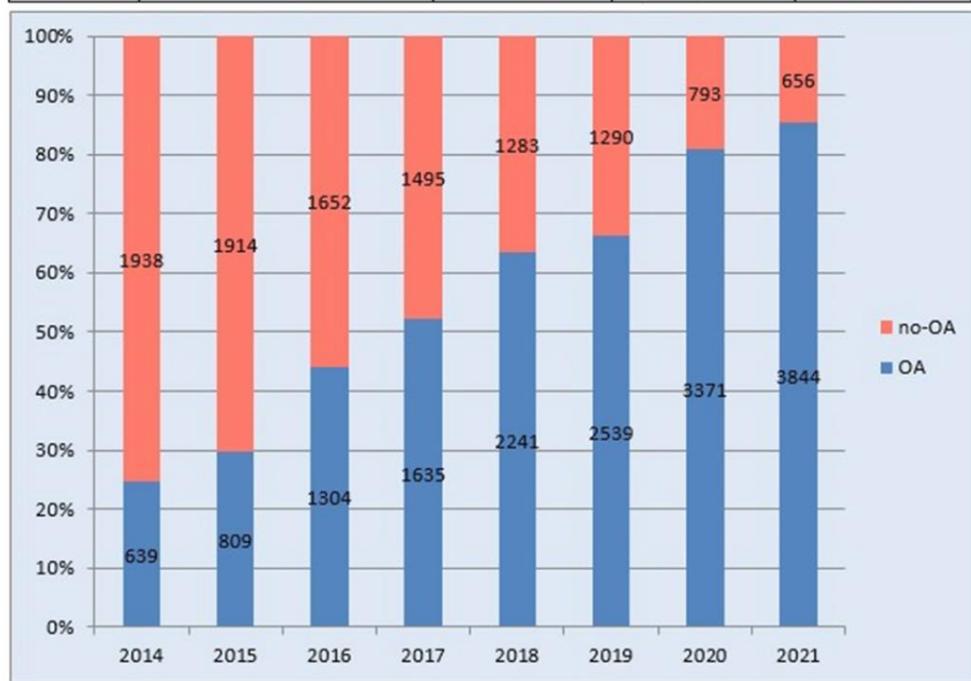
Publication trends 2011-2019 (internal study CWTS)

- Increasing amount of overall output
- Increase of gold and hybrid OA follows steeper curve
- % Dutch corresponding authors decreases slightly
- % hybrid OA for corresponding work increases more than for overall output (corresponding + co-author publications)
- Big traditional publishers maintain their position, independent OA publishers gain market share. Overall share combined 'top 20' publishing houses increases.

Publication trends: TU Delft, WUR

OA TU Delft - Journal articles - 2014-2021

Year	Articles (Peer reviewed)	OA	no-OA	Percentage
2014	2577	639	1938	24,80%
2015	2723	809	1914	29,71%
2016	2956	1304	1652	44,11%
2017	3130	1635	1495	52,24%
2018	3524	2241	1283	63,59%
2019	3829	2539	1290	66,31%
2020	4164	3371	793	80,96%
2021	4500	3844	656	85,42%



Proportion of peer-reviewed articles published **Open Access***



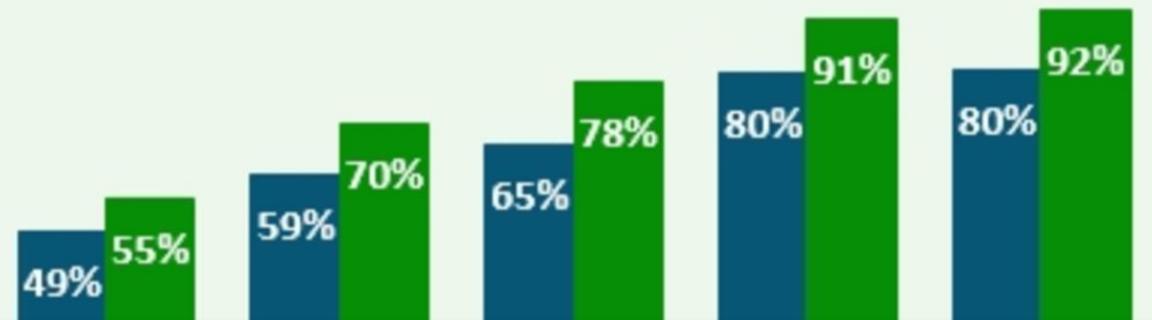
Publication trends: TU Delft, WUR & overall

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Gold, DOAJ OA ¹

Hybrid, and non-DOAJ OA ²

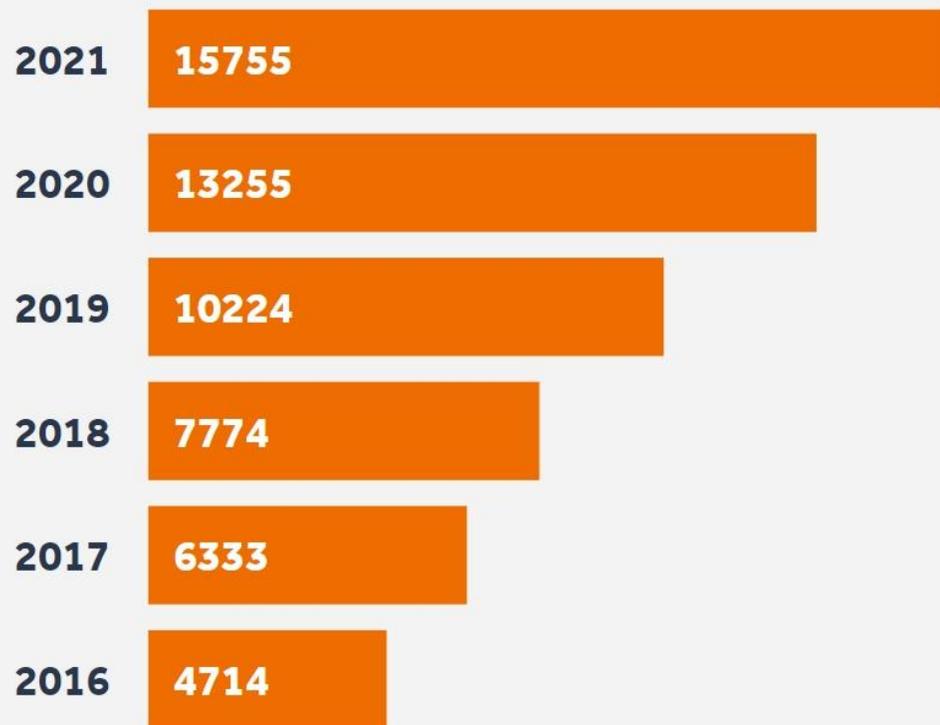
Only Green OA ³

Total OA

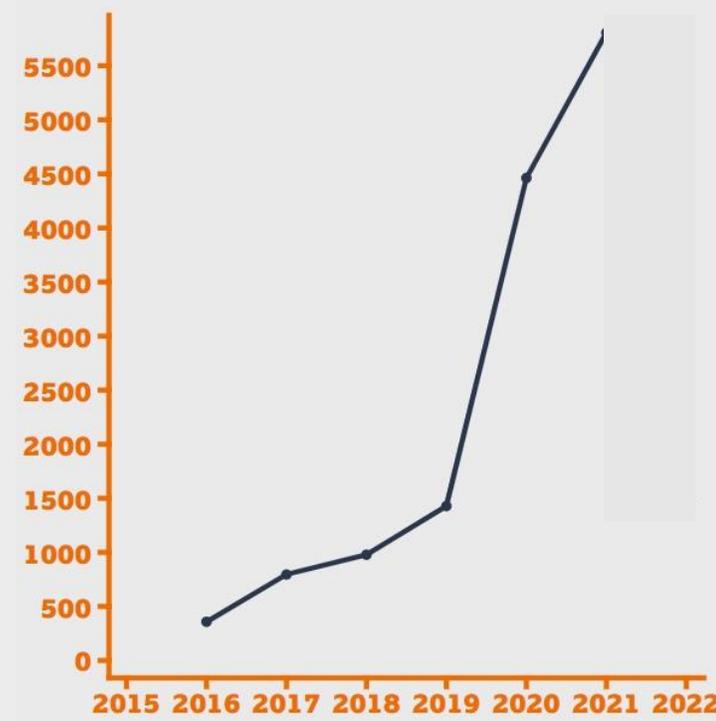
13%	20%	9%	42% (2016)
17%	23%	10%	50% (2017)
19%	24%	11%	54% (2018)
21%	29%	12%	62% (2019)
25%	35%	13%	73% (2020)

More journals in TA's > more hybrid OA

Articles published OA as part of TA

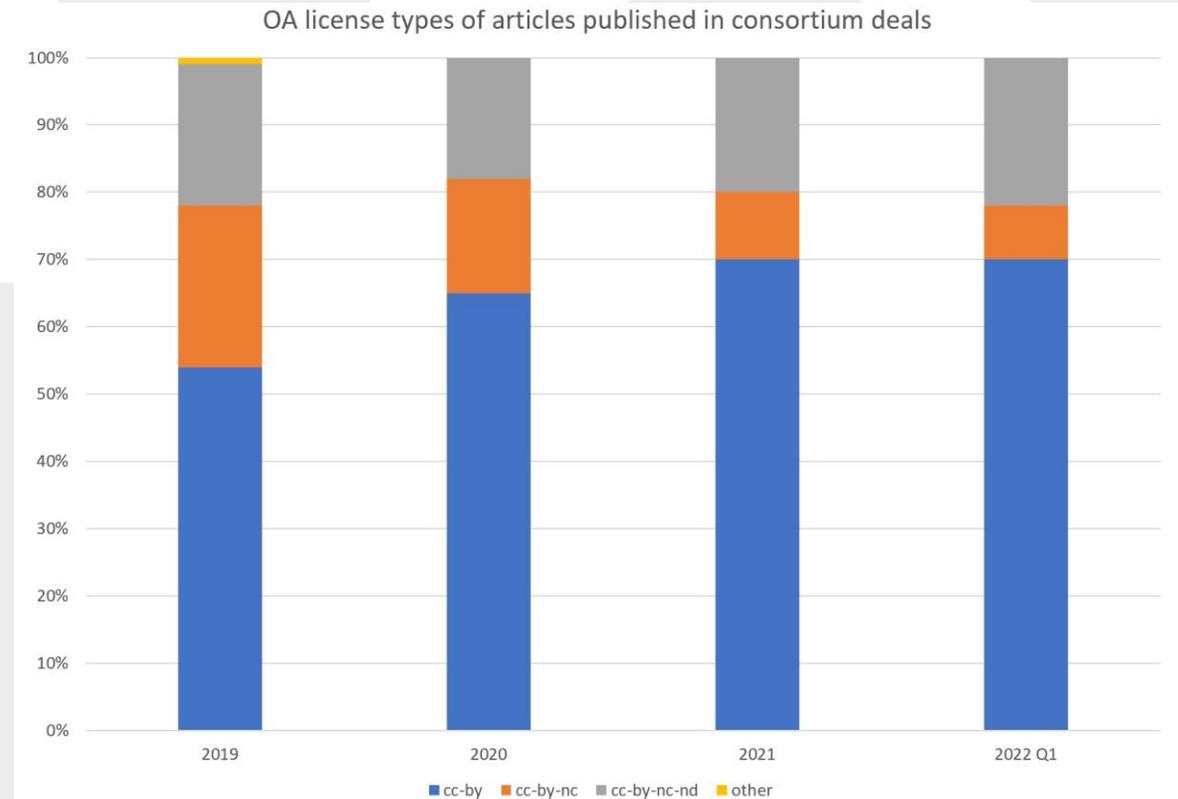


Elsevier: 10% / 20% / 30% / >95 % coverage hybrid journals in deal



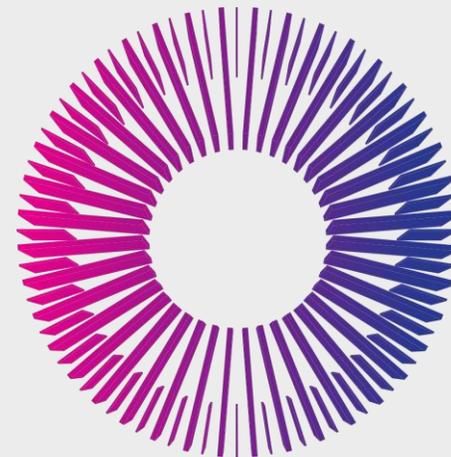
Impact of TA on publication practice

- Makes it much easier for authors to publish in open access
- A contract can have impact on the quality of service
- A contract can have impact on compliant publishing
- A contract can have impact on overall license choice



Some final personal observations

- TA's are an effective instrument to support authors in publishing (compliant) open access
- OA as a service including TA's support current publishing behavior of authors
- Dynamics in journal portfolio is at this moment more driven by launching new full OA journals than by flipping journals from hybrid to full OA
- A change of author behavior starts with open science including a new recognition and reward system (author part of the process)



nationaal
programma
**open
science**

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Questions, discussion

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