



DEAL

Implementing transformative agreements in Germany: impacts for many stakeholders

Practices of transformative agreements in Europe

15 June 2022 | UNICA Scholarly Communication Webinar

Colleen Campbell, Max Planck Digital Library

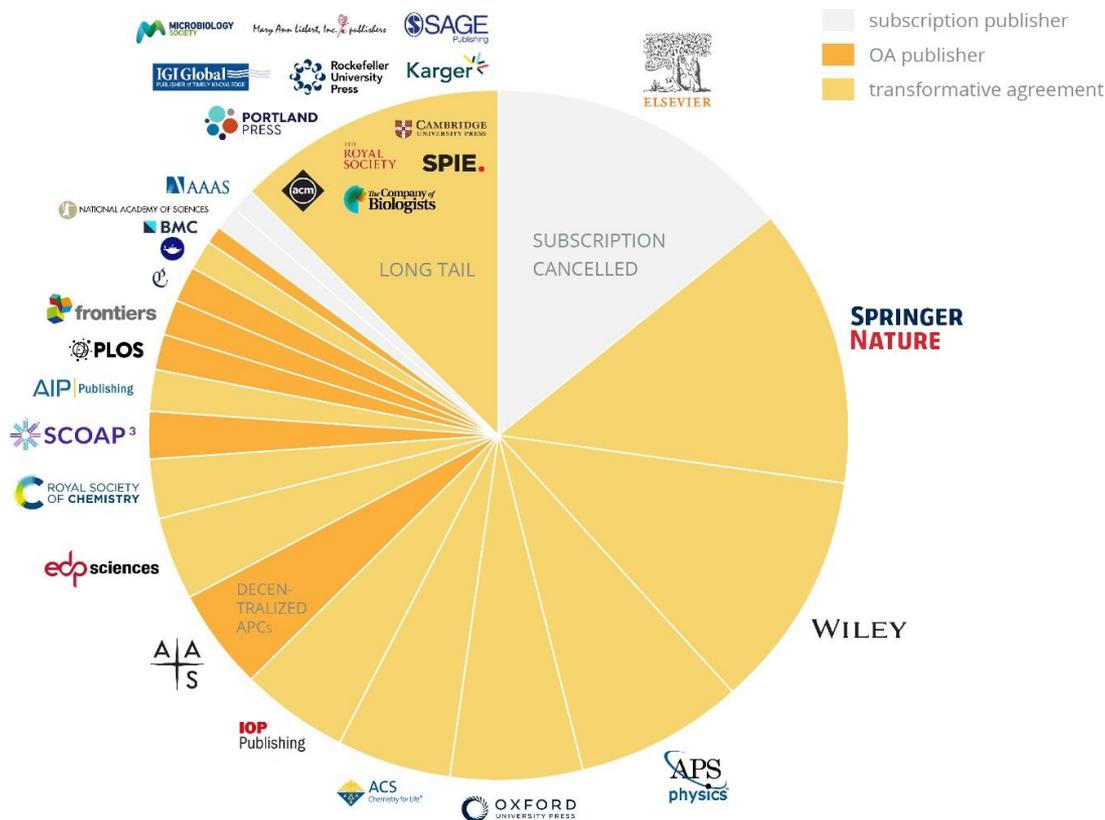


MAX PLANCK
digital library



M P D L
Services gGmbH

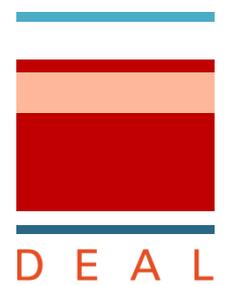
OA transition strategy at the Max Planck Society



As of 2022, MPDL has **23 active transformative agreements** and 10 arrangements with fully OA publishers in place.

This enables MPG authors to publish their research articles openly in more than **10.000 journals** without having to pay APCs and in full compliance with Plan S.

Transformative agreements in Germany



Approximately **50%** of journal publications from Germany are available **Open Access**.

- **31.4%** via transformative agreements
- **18.6%** via fully OA journals

The **nationwide DEAL agreements** with Springer Nature and Wiley have enabled **28.000** new research articles to be published OA in 2021 alone



<https://esac-initiative.org/market-watch/>

Impact for scholars and scientists

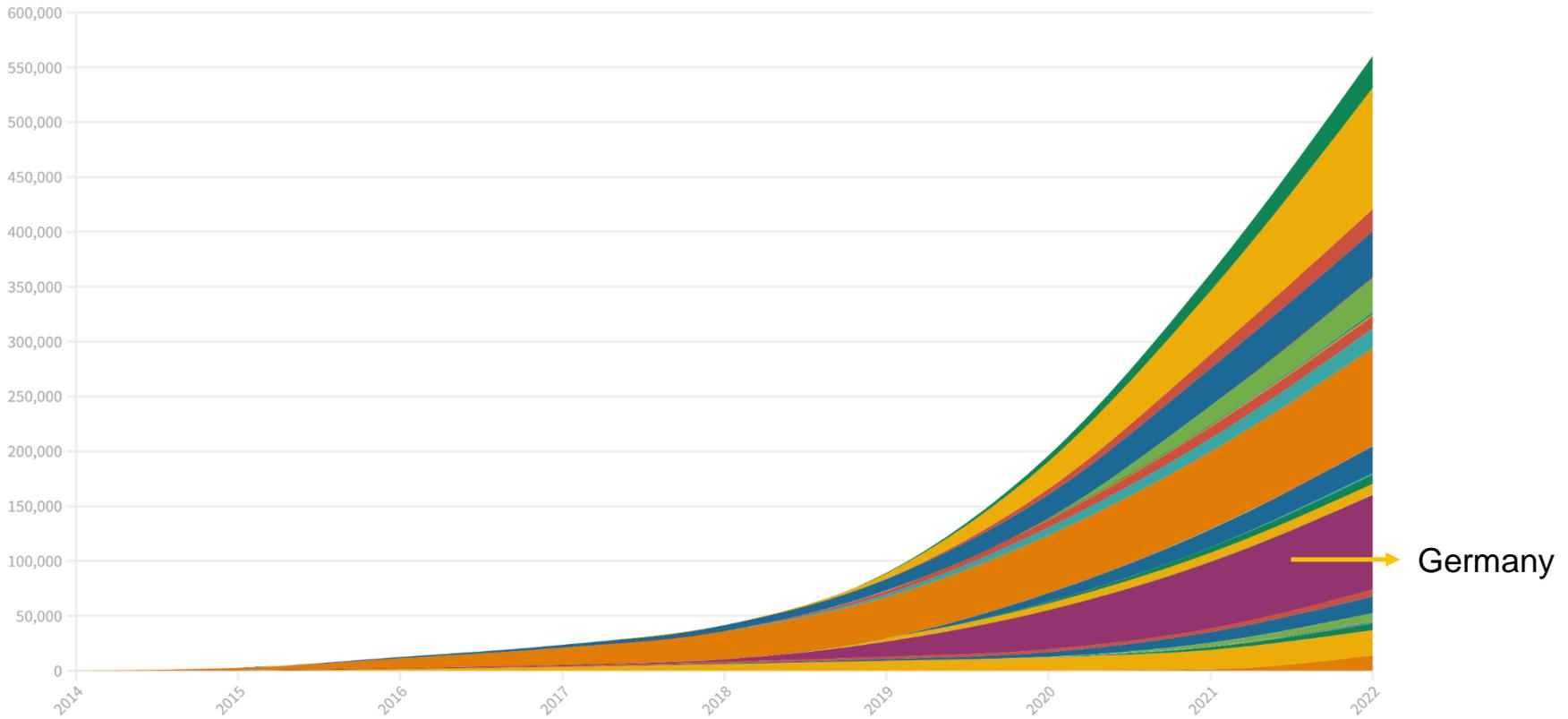
> 95%

of eligible authors took advantage of the opportunity
granted through the DEAL agreements
to opt for an open license
when publishing in a hybrid journal

Impact for the global open science objectives

D E A L

- Australia ● Austria ● Belgium ● Canada ● China ● Colombia ● Croatia ● Czech Republic ● Denmark ● EIFL (International) ● Estonia ● Finland ● France
- Germany ● Ghana ● Greece ● Hungary ● Ireland ● Israel ● Italy ● Japan ● Latvia ● Luxembourg ● Moldova ● Netherlands ● Norway ● Palestine
- Poland ● Qatar ● Saudi Arabia ● Serbia ● Slovenia ● South Korea ● Spain ● South Africa ● Sweden ● Switzerland ● Turkey ● United Kingdom
- United States

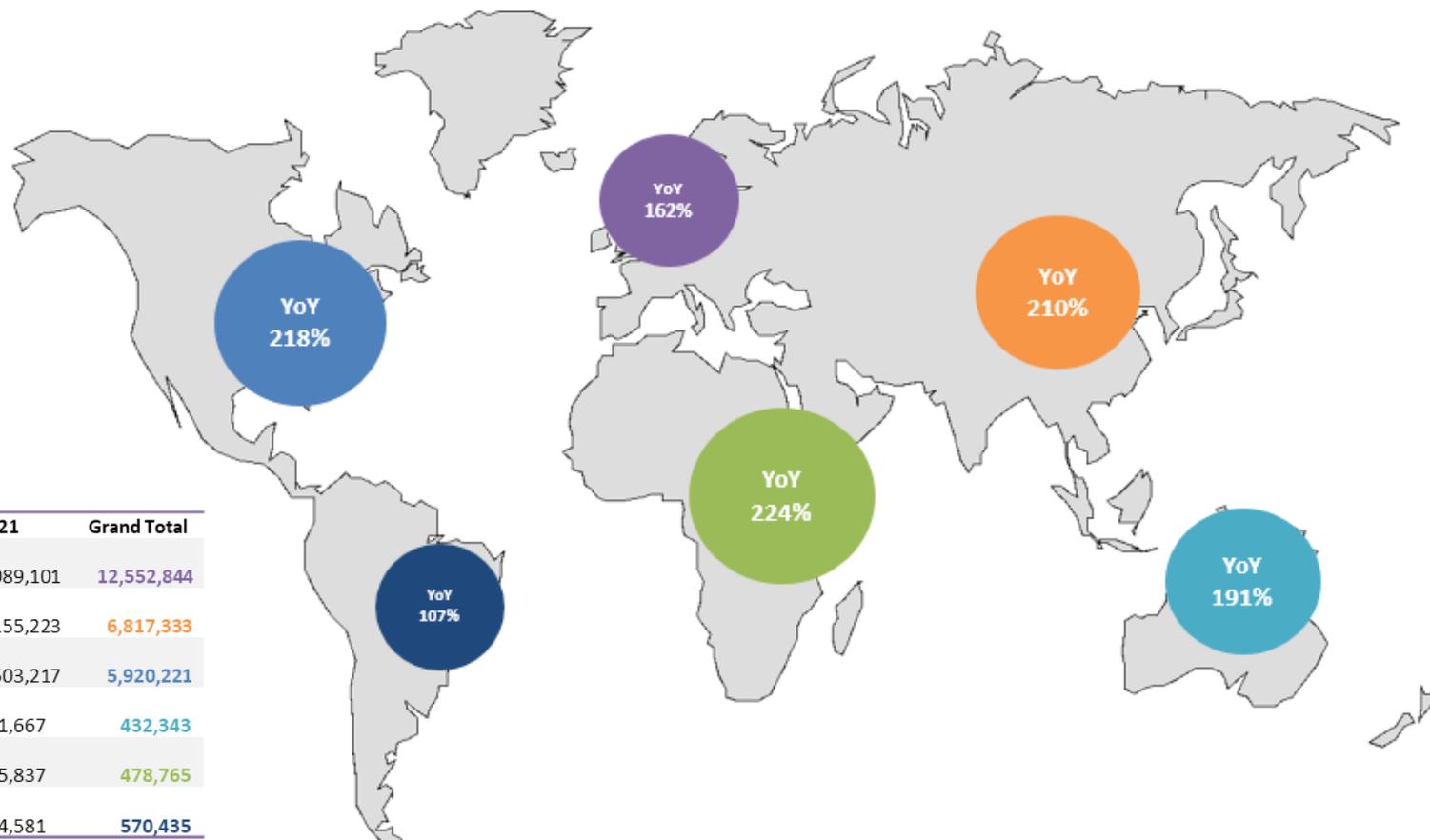


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Increase in reach and impact of German research

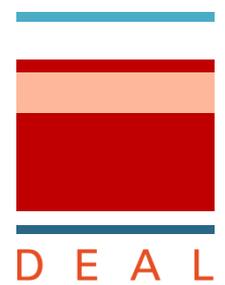


Global Usage Growth of DEAL Hybrid Open Access Publications by Continent

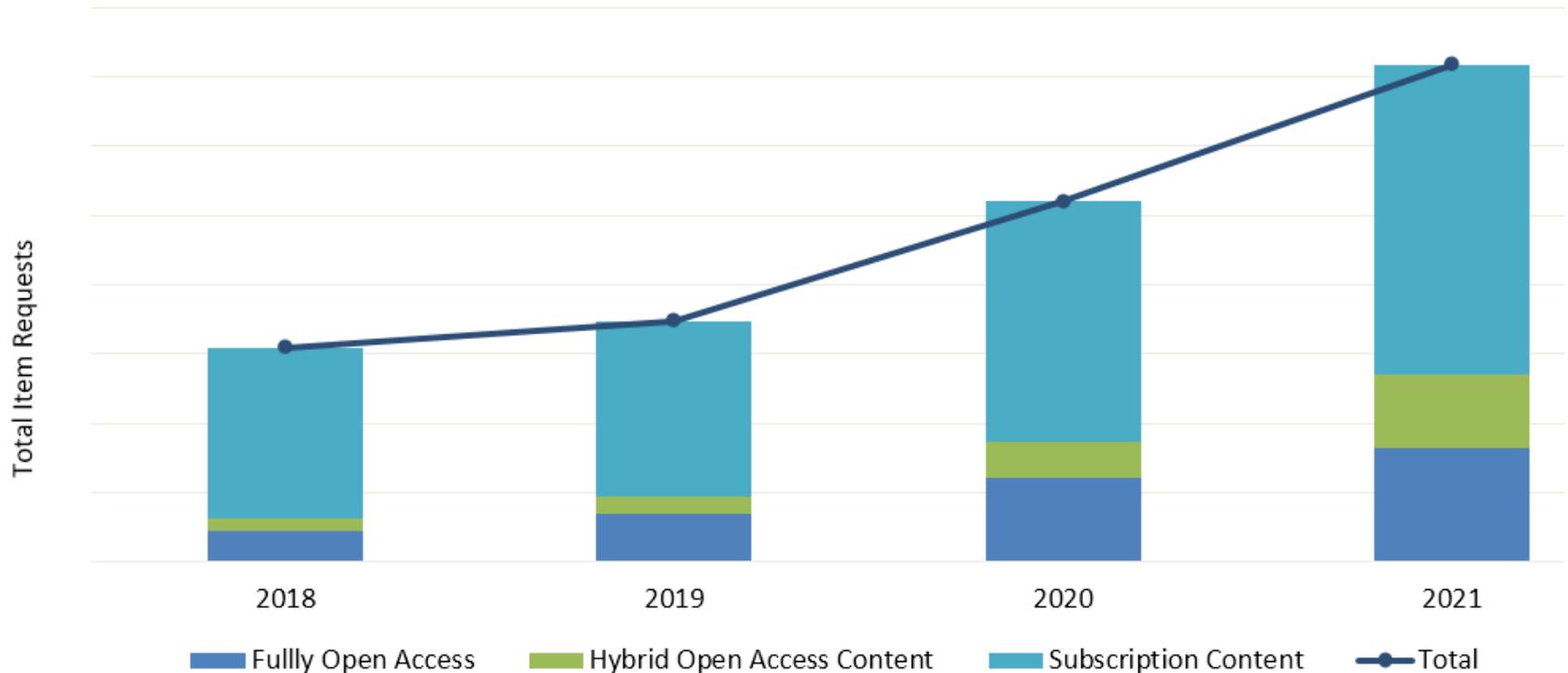


| Continent | 2020 | 2021 | Grand Total |
|---------------|-----------|-----------|-------------|
| Europe | 3,463,743 | 9,089,101 | 12,552,844 |
| Asia | 1,662,110 | 5,155,223 | 6,817,333 |
| North America | 1,417,004 | 4,503,217 | 5,920,221 |
| Oceania | 110,676 | 321,667 | 432,343 |
| Africa | 112,928 | 365,837 | 478,765 |
| South America | 185,854 | 384,581 | 570,435 |

Equal access to scholarly journal content for all participating DEAL institutions and their readers



Usage of journals comprised in the DEAL agreement has increased 89% with respect to the pre-agreement period 2018 - 2019



Overall savings for the German research community

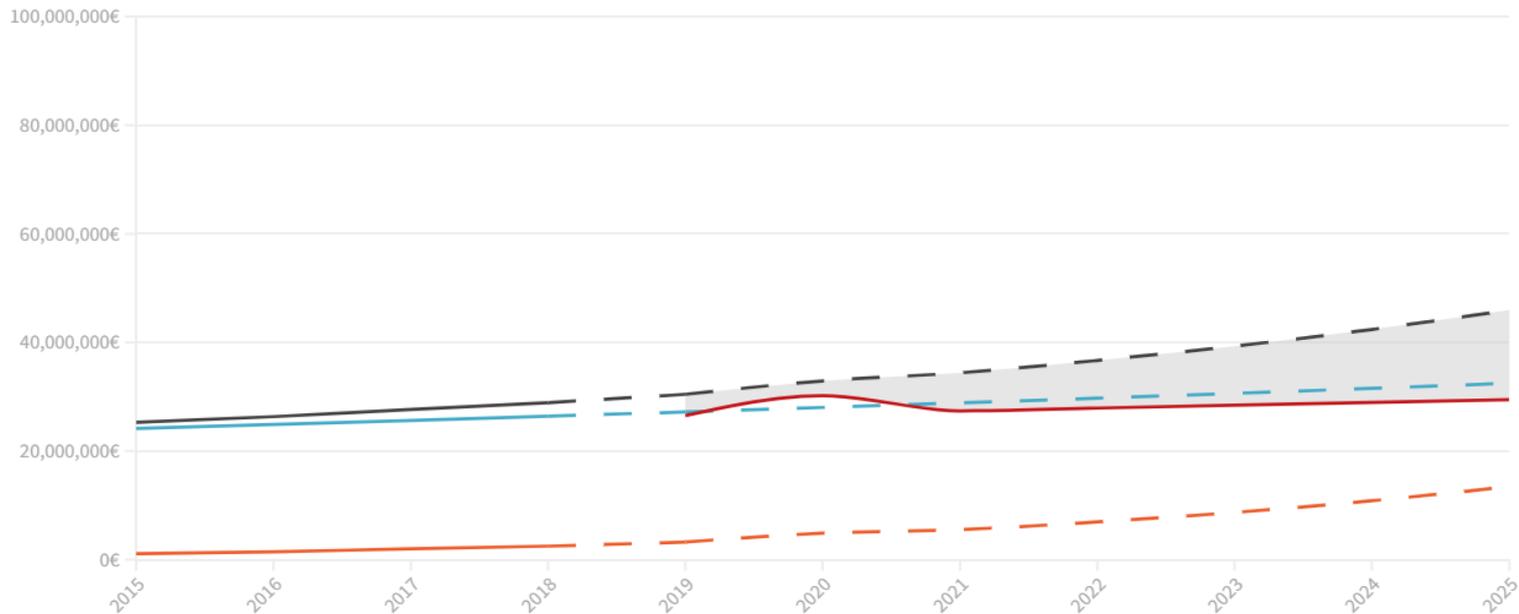


Wiley

Springer Nature

Status quo (subscription-based system) ▼

● Subscription fees ● APC expenditure ● Total expenditure ● DEAL



<https://deal-operations.de/en/here-is-the-deal/deal-cost-modeling-tool>

Working through the transition

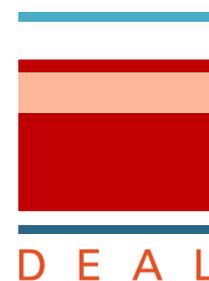
While it is generally accepted that there is enough money in the (subscription) system overall, it is not always where it is needed.

The power of the consortium:

„The aim is to achieve a **fair balance** between the interests of institutions that incur high subscription costs (relief) and those that have high publication numbers (capping).“

Dr. Jochen Johannsen, UB Siegen and Katrin Stump, SLUB Dresden
at the 8th Library Congress Leipzig, 31.5.2022

German Science and Humanities Council



WR German Science and Humanities Council
Recommendations on the Transformation of Academic
Publishing: Towards Open Access

Gold Open Access as the future standard of scientific publishing.

II.1.d Role of the libraries

Libraries possess extensive knowledge and often capacities to actively support researchers in their publication activities, too. In the future, this will be a central task for them.

They also play a central role in the **implementation of transparent information budgets** and the **centralisation of funds** and long-term archiving.

As far as the allocation of public funds for publishing activities is concerned, they could be involved in decisions on eligibility for funding.

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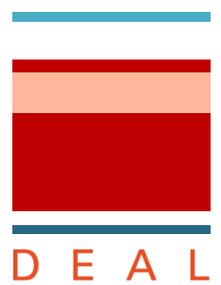
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II.1.b Role of the scientific institutions

In a publication system where OA is the norm, a central task of scientific institutions is to **ensure access to appropriate publication opportunities** for all their researchers in the sense of academic freedom.

Creating the right **organizational and financial conditions** for this must be a central element in their strategy, for which their management bears responsibility.

Alignment between RFOs and RPOs



Since 2021 "Open Access Publication Costs" programme

Long-term: Grants only for Open Access publications from DFG-funded projects
Publication costs as part of research costs

https://www.dfg.de/foerderung/programme/infrastruktur/lis/open_access/



Thank you

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